

TEW YALL

PIACE			Application No.	09/82	23,400
TRANS	SMITTAL F	ORM			h 29, 2001
(to be used for all correspondence after initial filing)		First Named Inventor		I. Connelly	
		Art Unit	2614		
			Examiner Name	Chris	topher Lambrecht
Total Number of	Pages in This Submiss	ion	Attorney Docket Number	er 42390	OP10860
	ENCLO	SURES (chec	k all that apply)	<u> </u>	
Fee Transmittal	Form	Drawing(s)			After Allowance Communication to TC
Fee Attac	ched	Licensing-r	elated Papers		Appeal Communication to Board of Appeals and Interferences
Amendment / R	esponse	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Fina Affidavits	al /declaration(s)	Petition to 0 Provisional	Convert a Application		Proprietary Information
Extension of Tir	ne Request	Power of A Change of	ttorney, Revocation Correspondence Addres		Status Letter
Express Abando	onment Request	Terminal D	isclaimer		Other Enclosure(s) (please identify below):
Information Disc	closure Statement	Request for	Refund		Theck for \$180.00 5 Cited references
PTO/SB/08		CD, Numbe	er of CD(s)	1 1	Return postcard
Certified Copy of Priority Document(s)		Landso	cape Table on CD		
Response to Mi Incomplete App	ssing Parts/ lication	Remarks	<u> </u>		
Basic	Filing Fee	Terriano			
لــا	aration/POA				
Response Parts und 1.52 or 1.	e to Missing ler 37 CFR 53				
	SIGNATURI	E OF APPLICAI	NT, ATTORNEY, OR	AGENT	
Firm	Gregory D. Cal	dwell, Reg. No	5. 39,926		
or Individual name BLAKELY, SOKOLOPT,			TAYLOR & ZAF	MAN I	LLP
Signature					
Date February 7, 2006					1000
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION		
	first class mail in an en				e on the date shown below with issioner for Patents, P.O. Box
Typed or printed na					
Signature Wheney Cleun			nan	Date	February 7, 2006

Based on PTO/SB/21 (09-04) as modified by Blakely. Solokoff, Taylor Zafman (wlr) 1/30/2005. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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TDANCAN	TTAL		Co	mplete if Known
TRANSMI	IIAL		Application Number	09/823,400
for FY 200	· <b>5</b>		Filing Date	March 29, 2001
Patent fees are subject to annual re	-		First Named Inventor	Jay H. Connelly
Applicant claims small entity status.	See 37 CFR 1	.27.	Examiner Name	Christopher Lambrecht
			Art Unit	2614
TOTAL AMOUNT OF PAYMENT	(\$)	180.00	Attorney Docket No.	42390P10860
METHOD OF PAYMENT (check a		one 🔲 (	Other (please identify):	
Deposit Account Deposit Account	Number: 02	<u>-2666</u> D	Deposit Account Name: B	lakely, Sokoloff, Taylor & Zafman LLF
For the above-identified deposit ac	•	irector is l		ck all that apply)

# Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below, except for the filing fee Credit any overpayments Credit any overpayments

Large E	ntity	Small	Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052		Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b)	)
Other f	ee (spe	cify) _			
				SUBTOTAL (2) (\$)	180.00

SUBMITTED B	Υ			Comp	olete (if applicable)
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	02/07/06

Docket No.: 42390P10860

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JAY H. CONNELLY

Application No.: 09/823,400

Filed: March 29, 2001

For: SYSTEM AND METHOD FOR MERGING

STREAMING AND STORED CONTENT INFORMATION IN AN ELECTRONIC

**PROGRAM GUIDE** 

Art Group: 2614

Examiner: Christopher Lambrecht

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

Blakely, Sokoloff, Jaylor & Zafman LLP

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Date: February 07, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

02-07-06

Date



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 1 **of** 2

·	Complete if Known
Application Number	09/823,400
Filing Date	March 29, 2001
First Named Inventor	Jay H. Connelly
Art Unit	2614
Examiner Name	Christopher Lambrecht
Attorney Docket Numbe	

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-2001/0041516 A1	11-15-2001	Hembree		
	2	US-5,838,678	11-17-1998	Davis, et al		
	3	US-5,075,771	12-24-1991	Hashimoto		
	4	US-5,999,526	12-07-1999	Garland, et al		
	5	US-6,642,939	11-04-2003	Vallone, et al		
	6	US-6,718,551 B1	04-06-2004	Swix et al		
	7	US-6,477,704 B1	11-05-2002	Cremia		
	8	US-6,357,042 B2	03-12-2002	Srinivisan, et al		
	9	US-6,594,682 B2	07-15-2003	Peterson, et al		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	-6	
Initials*	No.1	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	11.	
	10	EP 0 902 569 A1	03-17-1999	Shur, et al			
	11	EP 1 028 551 A2	08-16-2000	Gagnon, et al			
	12	WO 00/64165	10-02-2000	Eldering, et al			
	13_	WO 01/15449 A1	03-01-2001	Vamparys			
	14	JP 2003/032710	01-31-2003	Akiho Masatsugu, et al			
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Examiner	Date	
Signature	Considered	

'Applicant's unique citation designation number (optional):See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04:Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3):For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.



Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Sheet** 2 **of** 2

	Complete if Known
Application Number	09/823,400
Filing Date	March 29, 2001
First Named Inventor	Jay H. Connelly
Art Unit	2614
Examiner Name	Christopher Lambrecht
Attorney Docket Numbe	42390P10860

NON PATENT LITERATURE DOCUMENTS					
Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
15	HARTWIG, et al: Broadcasting and Processing of Program Guides for Digital TV; XP000668926, 6 pages				
	No.¹	Cite No.¹  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  HARTWIG, et al: Broadcasting and Processing of Program Guides for Digital TV;			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number? Applicant is to place a check mark here if English language Translation is attached.